

X. Revolutions as Changes of World View

Examining the record of past research from the vantage of contemporary historiography, the historian of science may be tempted to exclaim that when paradigms change, the world itself changes with them. Led by a new paradigm, scientists adopt new instruments and look in new places. Even more important, during revolutions scientists see new and different things when looking with familiar instruments in places they have looked before. It is rather as if the professional community had been suddenly transported to another planet where familiar objects are seen in a different light and are joined by unfamiliar ones as well. Of course, nothing of quite that sort does occur: there is no geographical transplantation; outside the laboratory everyday affairs usually continue as before. Nevertheless, paradigm changes do cause scientists to see the world of their research-engagement differently. In so far as their only recourse to that world is through what they see and do, we may want to say that after a revolution scientists are responding to a different world.

It is as elementary prototypes for these transformations of the scientist's world that the familiar demonstrations of a switch in visual gestalt prove so suggestive. What were ducks in the scientist's world before the revolution are rabbits afterwards. The man who first saw the exterior of the box from above later sees its interior from below. Transformations like these, though usually more gradual and almost always irreversible, are common concomitants of scientific training. Looking at a contour map, the student sees lines on paper, the cartographer a picture of a terrain. Looking at a bubble-chamber photograph, the student sees confused and broken lines, the physicist a record of familiar subnuclear events. Only after a number of such transformations of vision does the student become an inhabitant of the scientist's world, seeing what the scientist sees and responding as the scientist does. The world that the student then enters

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is not, however, fixed once and for all by the nature of the environment, on the one hand, and of science, on the other. Rather, it is determined jointly by the environment and the particular normal-scientific tradition that the student has been trained to pursue. Therefore, at times of revolution, when the normal-scientific tradition changes, the scientist's perception of his environment must be re-educated—in some familiar situations he must learn to see a new gestalt. After he has done so the world of his research will seem, here and there, incommensurable with the one he had inhabited before. That is another reason why schools guided by different paradigms are always slightly at cross-purposes.

In their most usual form, of course, gestalt experiments illustrate only the nature of perceptual transformations. They tell us nothing about the role of paradigms or of previously assimilated experience in the process of perception. But on that point there is a rich body of psychological literature, much of it stemming from the pioneering work of the Hanover Institute. An experimental subject who puts on goggles fitted with inverting lenses initially sees the entire world upside down. At the start his perceptual apparatus functions as it had been trained to function in the absence of the goggles, and the result is extreme disorientation, an acute personal crisis. But after the subject has begun to learn to deal with his new world, his entire visual field flips over, usually after an intervening period in which vision is simply confused. Thereafter, objects are again seen as they had been before the goggles were put on. The assimilation of a previously anomalous visual field has reacted upon and changed the field itself.¹ Literally as well as metaphorically, the man accustomed to inverting lenses has undergone a revolutionary transformation of vision.

The subjects of the anomalous playing-card experiment discussed in Section VI experienced a quite similar transformation. Until taught by prolonged exposure that the universe contained

¹ The original experiments were by George M. Stratton, "Vision without Inversion of the Retinal Image," *Psychological Review*, IV (1897), 341-60, 463-81. A more up-to-date review is provided by Harvey A. Carr, *An Introduction to Space Perception* (New York, 1935), pp. 18-57.

anomalous cards, they saw only the types of cards for which previous experience had equipped them. Yet once experience had provided the requisite additional categories, they were able to see all anomalous cards on the first inspection long enough to permit any identification at all. Still other experiments demonstrate that the perceived size, color, and so on, of experimentally displayed objects also varies with the subject's previous training and experience.² Surveying the rich experimental literature from which these examples are drawn makes one suspect that something like a paradigm is prerequisite to perception itself. What a man sees depends both upon what he looks at and also upon what his previous visual-conceptual experience has taught him to see. In the absence of such training there can only be, in William James's phrase, "a bloomin' buzzin' confusion."

In recent years several of those concerned with the history of science have found the sorts of experiments described above immensely suggestive. N. R. Hanson, in particular, has used gestalt demonstrations to elaborate some of the same consequences of scientific belief that concern me here.³ Other colleagues have repeatedly noted that history of science would make better and more coherent sense if one could suppose that scientists occasionally experienced shifts of perception like those described above. Yet, though psychological experiments are suggestive, they cannot, in the nature of the case, be more than that. They do display characteristics of perception that *could* be central to scientific development, but they do not demonstrate that the careful and controlled observation exercised by the research scientist at all partakes of those characteristics. Furthermore, the very nature of these experiments makes any direct demonstration of that point impossible. If historical example is to make these psychological experiments seem rele-

² For examples, see Albert H. Hastorf, "The Influence of Suggestion on the Relationship between Stimulus Size and Perceived Distance," *Journal of Psychology*, XXIX (1950), 195-217; and Jerome S. Bruner, Leo Postman, and John Rodrigues, "Expectations and the Perception of Color," *American Journal of Psychology*, LXIV (1951), 216-27.

³ N. R. Hanson, *Patterns of Discovery* (Cambridge, 1958), chap. i.

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vant, we must first notice the sorts of evidence that we may and may not expect history to provide.

The subject of a gestalt demonstration knows that his perception has shifted because he can make it shift back and forth repeatedly while he holds the same book or piece of paper in his hands. Aware that nothing in his environment has changed, he directs his attention increasingly not to the figure (duck or rabbit) but to the lines on the paper he is looking at. Ultimately he may even learn to see those lines without seeing either of the figures, and he may then say (what he could not legitimately have said earlier) that it is these lines that he really sees but that he sees them alternately *as a duck* and *as a rabbit*. By the same token, the subject of the anomalous card experiment knows (or, more accurately, can be persuaded) that his perception must have shifted because an external authority, the experimenter, assures him that regardless of what he *saw*, he was *looking at a black five of hearts all the time*. In both these cases, as in all similar psychological experiments, the effectiveness of the demonstration depends upon its being analyzable in this way. Unless there were an external standard with respect to which a switch of vision could be demonstrated, no conclusion about alternate perceptual possibilities could be drawn.

With scientific observation, however, the situation is exactly reversed. The scientist can have no recourse above or beyond what he sees with his eyes and instruments. If there were some higher authority by recourse to which his vision might be shown to have shifted, then that authority would itself become the source of his data, and the behavior of his vision would become a source of problems (as that of the experimental subject is for the psychologist). The same sorts of problems would arise if the scientist could switch back and forth like the subject of the gestalt experiments. The period during which light was "sometimes a wave and sometimes a particle" was a period of crisis—a period when something was wrong—and it ended only with the development of wave mechanics and the realization that light was a self-consistent entity different from both waves and particles. In the sciences, therefore, if perceptual switches ac-

company paradigm changes, we may not expect scientists to attest to these changes directly. Looking at the moon, the convert to Copernicanism does not say, "I used to see a planet, but now I see a satellite." That locution would imply a sense in which the Ptolemaic system had once been correct. Instead, a convert to the new astronomy says, "I once took the moon to be (or saw the moon as) a planet, but I was mistaken." That sort of statement does recur in the aftermath of scientific revolutions. If it ordinarily disguises a shift of scientific vision or some other mental transformation with the same effect, we may not expect direct testimony about that shift. Rather we must look for indirect and behavioral evidence that the scientist with a new paradigm sees differently from the way he had seen before.

Let us then return to the data and ask what sorts of transformations in the scientist's world the historian who believes in such changes can discover. Sir William Herschel's discovery of Uranus provides a first example and one that closely parallels the anomalous card experiment. On at least seventeen different occasions between 1690 and 1781, a number of astronomers, including several of Europe's most eminent observers, had seen a star in positions that we now suppose must have been occupied at the time by Uranus. One of the best observers in this group had actually seen the star on four successive nights in 1769 without noting the motion that could have suggested another identification. Herschel, when he first observed the same object twelve years later, did so with a much improved telescope of his own manufacture. As a result, he was able to notice an apparent disk-size that was at least unusual for stars. Something was awry, and he therefore postponed identification pending further scrutiny. That scrutiny disclosed Uranus' motion among the stars, and Herschel therefore announced that he had seen a new comet! Only several months later, after fruitless attempts to fit the observed motion to a cometary orbit, did Lexell suggest that the orbit was probably planetary.⁴ When that suggestion was accepted, there were several fewer stars and one more planet in the world of the professional astronomer. A celestial body that

⁴ Peter Doig, *A Concise History of Astronomy* (London, 1950), pp. 115-16.

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had been observed off and on for almost a century was seen differently after 1781 because, like an anomalous playing card, it could no longer be fitted to the perceptual categories (star or comet) provided by the paradigm that had previously prevailed.

The shift of vision that enabled astronomers to see Uranus, the planet, does not, however, seem to have affected only the perception of that previously observed object. Its consequences were more far-reaching. Probably, though the evidence is equivocal, the minor paradigm change forced by Herschel helped to prepare astronomers for the rapid discovery, after 1801, of the numerous minor planets or asteroids. Because of their small size, these did not display the anomalous magnification that had alerted Herschel. Nevertheless, astronomers prepared to find additional planets were able, with standard instruments, to identify twenty of them in the first fifty years of the nineteenth century.⁵ The history of astronomy provides many other examples of paradigm-induced changes in scientific perception, some of them even less equivocal. Can it conceivably be an accident, for example, that Western astronomers first saw change in the previously immutable heavens during the half-century after Copernicus' new paradigm was first proposed? The Chinese, whose cosmological beliefs did not preclude celestial change, had recorded the appearance of many new stars in the heavens at a much earlier date. Also, even without the aid of a telescope, the Chinese had systematically recorded the appearance of sunspots centuries before these were seen by Galileo and his contemporaries.⁶ Nor were sunspots and a new star the only examples of celestial change to emerge in the heavens of Western astronomy immediately after Copernicus. Using traditional instruments, some as simple as a piece of thread, late sixteenth-century astronomers repeatedly discovered that comets wandered at will through the space previously reserved for the

⁵ Rudolph Wolf, *Geschichte der Astronomie* (Munich, 1877), pp. 513-15, 683-93. Notice particularly how difficult Wolf's account makes it to explain these discoveries as a consequence of Bode's Law.

⁶ Joseph Needham, *Science and Civilization in China*, III (Cambridge, 1959), 423-29, 434-36.

immutable planets and stars.⁷ The very ease and rapidity with which astronomers saw new things when looking at old objects with old instruments may make us wish to say that, after Copernicus, astronomers lived in a different world. In any case, their research responded as though that were the case.

The preceding examples are selected from astronomy because reports of celestial observation are frequently delivered in a vocabulary consisting of relatively pure observation terms. Only in such reports can we hope to find anything like a full parallelism between the observations of scientists and those of the psychologist's experimental subjects. But we need not insist on so full a parallelism, and we have much to gain by relaxing our standard. If we can be content with the everyday use of the verb 'to see,' we may quickly recognize that we have already encountered many other examples of the shifts in scientific perception that accompany paradigm change. The extended use of 'perception' and of 'seeing' will shortly require explicit defense, but let me first illustrate its application in practice.

Look again for a moment at two of our previous examples from the history of electricity. During the seventeenth century, when their research was guided by one or another effluvium theory, electricians repeatedly saw chaff particles rebound from, or fall off, the electrified bodies that had attracted them. At least that is what seventeenth-century observers said they saw, and we have no more reason to doubt their reports of perception than our own. Placed before the same apparatus, a modern observer would see electrostatic repulsion (rather than mechanical or gravitational rebounding), but historically, with one universally ignored exception, electrostatic repulsion was not seen as such until Hauksbee's large-scale apparatus had greatly magnified its effects. Repulsion after contact electrification was, however, only one of many new repulsive effects that Hauksbee saw. Through his researches, rather as in a gestalt switch, repulsion suddenly became *the* fundamental manifestation of electrification, and it was then attraction that needed to be ex-

⁷ T. S. Kuhn, *The Copernican Revolution* (Cambridge, Mass., 1957), pp. 206-9.

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plained.⁸ The electrical phenomena visible in the early eighteenth century were both subtler and more varied than those seen by observers in the seventeenth century. Or again, after the assimilation of Franklin's paradigm, the electrician looking at a Leyden jar saw something different from what he had seen before. The device had become a condenser, for which neither the jar shape nor glass was required. Instead, the two conducting coatings—one of which had been no part of the original device—emerged to prominence. As both written discussions and pictorial representations gradually attest, two metal plates with a non-conductor between them had become the prototype for the class.⁹ Simultaneously, other inductive effects received new descriptions, and still others were noted for the first time.

Shifts of this sort are not restricted to astronomy and electricity. We have already remarked some of the similar transformations of vision that can be drawn from the history of chemistry. Lavoisier, we said, saw oxygen where Priestley had seen dephlogisticated air and where others had seen nothing at all. In learning to see oxygen, however, Lavoisier also had to change his view of many other more familiar substances. He had, for example, to see a compound ore where Priestley and his contemporaries had seen an elementary earth, and there were other such changes besides. At the very least, as a result of discovering oxygen, Lavoisier saw nature differently. And in the absence of some recourse to that hypothetical fixed nature that he "saw differently," the principle of economy will urge us to say that after discovering oxygen Lavoisier worked in a different world.

I shall inquire in a moment about the possibility of avoiding this strange locution, but first we require an additional example of its use, this one deriving from one of the best known parts of the work of Galileo. Since remote antiquity most people have seen one or another heavy body swinging back and forth on a string or chain until it finally comes to rest. To the Aristotelians,

⁸ Duane Roller and Duane H. D. Roller, *The Development of the Concept of Electric Charge* (Cambridge, Mass., 1954), pp. 21–29.

⁹ See the discussion in Section VII and the literature to which the reference there cited in note 9 will lead.

who believed that a heavy body is moved by its own nature from a higher position to a state of natural rest at a lower one, the swinging body was simply falling with difficulty. Constrained by the chain, it could achieve rest at its low point only after a tortuous motion and a considerable time. Galileo, on the other hand, looking at the swinging body, saw a pendulum, a body that almost succeeded in repeating the same motion over and over again *ad infinitum*. And having seen that much, Galileo observed other properties of the pendulum as well and constructed many of the most significant and original parts of his new dynamics around them. From the properties of the pendulum, for example, Galileo derived his only full and sound arguments for the independence of weight and rate of fall, as well as for the relationship between vertical height and terminal velocity of motions down inclined planes.¹⁰ All these natural phenomena he saw differently from the way they had been seen before.

Why did that shift of vision occur? Through Galileo's individual genius, of course. But note that genius does not here manifest itself in more accurate or objective observation of the swinging body. Descriptively, the Aristotelian perception is just as accurate. When Galileo reported that the pendulum's period was independent of amplitude for amplitudes as great as 90° , his view of the pendulum led him to see far more regularity than we can now discover there.¹¹ Rather, what seems to have been involved was the exploitation by genius of perceptual possibilities made available by a medieval paradigm shift. Galileo was not raised completely as an Aristotelian. On the contrary, he was trained to analyze motions in terms of the impetus theory, a late medieval paradigm which held that the continuing motion of a heavy body is due to an internal power implanted in it by the projector that initiated its motion. Jean Buridan and Nicole Oresme, the fourteenth-century scholastics who brought the impetus theory to its most perfect formulations, are the first men

¹⁰ Galileo Galilei, *Dialogues concerning Two New Sciences*, trans. H. Crew and A. de Salvio (Evanston, Ill., 1946), pp. 80-81, 162-66.

¹¹ *Ibid.*, pp. 91-94, 244.

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known to have seen in oscillatory motions any part of what Galileo saw there. Buridan describes the motion of a vibrating string as one in which impetus is first implanted when the string is struck; the impetus is next consumed in displacing the string against the resistance of its tension; tension then carries the string back, implanting increasing impetus until the mid-point of motion is reached; after that the impetus displaces the string in the opposite direction, again against the string's tension, and so on in a symmetric process that may continue indefinitely. Later in the century Oresme sketched a similar analysis of the swinging stone in what now appears as the first discussion of a pendulum.¹² His view is clearly very close to the one with which Galileo first approached the pendulum. At least in Oresme's case, and almost certainly in Galileo's as well, it was a view made possible by the transition from the original Aristotelian to the scholastic impetus paradigm for motion. Until that scholastic paradigm was invented, there were no pendulums, but only swinging stones, for the scientist to see. Pendulums were brought into existence by something very like a paradigm-induced gestalt switch.

Do we, however, really need to describe what separates Galileo from Aristotle, or Lavoisier from Priestley, as a transformation of vision? Did these men really *see* different things when *looking at* the same sorts of objects? Is there any legitimate sense in which we can say that they pursued their research in different worlds? Those questions can no longer be postponed, for there is obviously another and far more usual way to describe all of the historical examples outlined above. Many readers will surely want to say that what changes with a paradigm is only the scientist's interpretation of observations that themselves are fixed once and for all by the nature of the environment and of the perceptual apparatus. On this view, Priestley and Lavoisier both saw oxygen, but they interpreted their observations differently; Aristotle and Galileo both saw pendu-

¹² M. Clagett, *The Science of Mechanics in the Middle Ages* (Madison, Wis., 1959), pp. 537-38, 570.

lums, but they differed in their interpretations of what they both had seen.

Let me say at once that this very usual view of what occurs when scientists change their minds about fundamental matters can be neither all wrong nor a mere mistake. Rather it is an essential part of a philosophical paradigm initiated by Descartes and developed at the same time as Newtonian dynamics. That paradigm has served both science and philosophy well. Its exploitation, like that of dynamics itself, has been fruitful of a fundamental understanding that perhaps could not have been achieved in another way. But as the example of Newtonian dynamics also indicates, even the most striking past success provides no guarantee that crisis can be indefinitely postponed. Today research in parts of philosophy, psychology, linguistics, and even art history, all converge to suggest that the traditional paradigm is somehow askew. That failure to fit is also made increasingly apparent by the historical study of science to which most of our attention is necessarily directed here.

None of these crisis-promoting subjects has yet produced a viable alternate to the traditional epistemological paradigm, but they do begin to suggest what some of that paradigm's characteristics will be. I am, for example, acutely aware of the difficulties created by saying that when Aristotle and Galileo looked at swinging stones, the first saw constrained fall, the second a pendulum. The same difficulties are presented in an even more fundamental form by the opening sentences of this section: though the world does not change with a change of paradigm, the scientist afterward works in a different world. Nevertheless, I am convinced that we must learn to make sense of statements that at least resemble these. What occurs during a scientific revolution is not fully reducible to a reinterpretation of individual and stable data. In the first place, the data are not unequivocally stable. A pendulum is not a falling stone, nor is oxygen dephlogisticated air. Consequently, the data that scientists collect from these diverse objects are, as we shall shortly see, themselves different. More important, the process by which

John Kuhn wishes to deny reality

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either the individual or the community makes the transition from constrained fall to the pendulum or from dephlogisticated air to oxygen is not one that resembles interpretation. How could it do so in the absence of fixed data for the scientist to interpret? Rather than being an interpreter, the scientist who embraces a new paradigm is like the man wearing inverting lenses. Confronting the same constellation of objects as before and knowing that he does so, he nevertheless finds them transformed through and through in many of their details.

None of these remarks is intended to indicate that scientists do not characteristically interpret observations and data. On the contrary, Galileo interpreted observations on the pendulum, Aristotle observations on falling stones, Musschenbroek observations on a charge-filled bottle, and Franklin observations on a condenser. But each of these interpretations presupposed a paradigm. They were parts of normal science, an enterprise that, as we have already seen, aims to refine, extend, and articulate a paradigm that is already in existence. Section III provided many examples in which interpretation played a central role. Those examples typify the overwhelming majority of research. In each of them the scientist, by virtue of an accepted paradigm, knew what a datum was, what instruments might be used to retrieve it, and what concepts were relevant to its interpretation. Given a paradigm, interpretation of data is central to the enterprise that explores it.

But that interpretive enterprise—and this was the burden of the paragraph before last—can only articulate a paradigm, not correct it. Paradigms are not corrigible by normal science at all. Instead, as we have already seen, normal science ultimately leads only to the recognition of anomalies and to crises. And these are terminated, not by deliberation and interpretation, but by a relatively sudden and unstructured event like the *gesalt* switch. Scientists then often speak of the “scales falling from the eyes” or of the “lightning flash” that “inundates” a previously obscure puzzle, enabling its components to be seen in a new way that for the first time permits its solution. On other

→ cases in which scientists use old data to confirm new theories

occasions the relevant illumination comes in sleep.¹³ No ordinary sense of the term 'interpretation' fits these flashes of intuition through which a new paradigm is born. Though such intuitions depend upon the experience, both anomalous and congruent, gained with the old paradigm, they are not logically or piecemeal linked to particular items of that experience as an interpretation would be. Instead, they gather up large portions of that experience and transform them to the rather different bundle of experience that will thereafter be linked piecemeal to the new paradigm but not to the old.

To learn more about what these differences in experience can be, return for a moment to Aristotle, Galileo, and the pendulum. What data did the interaction of their different paradigms and their common environment make accessible to each of them? Seeing constrained fall, the Aristotelian would measure (or at least discuss—the Aristotelian seldom measured) the weight of the stone, the vertical height to which it had been raised, and the time required for it to achieve rest. Together with the resistance of the medium, these were the conceptual categories deployed by Aristotelian science when dealing with a falling body.¹⁴ Normal research guided by them could not have produced the laws that Galileo discovered. It could only—and by another route it did—lead to the series of crises from which Galileo's view of the swinging stone emerged. As a result of those crises and of other intellectual changes besides, Galileo saw the swinging stone quite differently. Archimedes' work on floating bodies made the medium non-essential; the impetus theory rendered the motion symmetrical and enduring; and Neoplatonism directed Galileo's attention to the motion's circu-

¹³ [Jacques] Hadamard, *Subconscient intuition, et logique dans la recherche scientifique* (*Conférence faite au Palais de la Découverte le 8 Décembre 1945* [Alençon, n.d.]), pp. 7-8. A much fuller account, though one exclusively restricted to mathematical innovations, is the same author's *The Psychology of Invention in the Mathematical Field* (Princeton, 1949).

¹⁴ T. S. Kuhn, "A Function for Thought Experiments," in *Mélanges Alexandre Koyré*, ed. R. Taton and I. B. Cohen, to be published by Hermann (Paris) in 1963.

lar form.¹⁵ He therefore measured only weight, radius, angular displacement, and time per swing, which were precisely the data that could be interpreted to yield Galileo's laws for the pendulum. In the event, interpretation proved almost unnecessary. Given Galileo's paradigms, pendulum-like regularities were very nearly accessible to inspection. How else are we to account for Galileo's discovery that the bob's period is entirely independent of amplitude, a discovery that the normal science stemming from Galileo had to eradicate and that we are quite unable to document today. Regularities that could not have existed for an Aristotelian (and that are, in fact, nowhere precisely exemplified by nature) were consequences of immediate experience for the man who saw the swinging stone as Galileo did.

Perhaps that example is too fanciful since the Aristotelians recorded no discussions of swinging stones. On their paradigm it was an extraordinarily complex phenomenon. But the Aristotelians did discuss the simpler case, stones falling without uncommon constraints, and the same differences of vision are apparent there. Contemplating a falling stone, Aristotle saw a change of state rather than a process. For him the relevant measures of a motion were therefore total distance covered and total time elapsed, parameters which yield what we should now call not speed but average speed.¹⁶ Similarly, because the stone was impelled by its nature to reach its final resting point, Aristotle saw the relevant distance parameter at any instant during the motion as the distance *to* the final end point rather than as that *from* the origin of motion.¹⁷ Those conceptual parameters underlie and give sense to most of his well-known "laws of motion." Partly through the impetus paradigm, however, and partly through a doctrine known as the latitude of forms, scholastic criticism changed this way of viewing motion. A stone moved by impetus gained more and more of it while receding from its

¹⁵ A. Koyré, *Etudes Galiléennes* (Paris, 1939), I, 46-51; and "Galileo and Plato," *Journal of the History of Ideas*, IV (1943), 400-428.

¹⁶ Kuhn, "A Function for Thought Experiments," in *Mélanges Alexandre Koyré* (see n. 14 for full citation).

¹⁷ Koyré, *Etudes . . .*, II, 7-11.

starting point; distance from rather than distance to therefore became the revelant parameter. In addition, Aristotle's notion of speed was bifurcated by the scholastics into concepts that soon after Galileo became our average speed and instantaneous speed. But when seen through the paradigm of which these conceptions were a part, the falling stone, like the pendulum, exhibited its governing laws almost on inspection. Galileo was not one of the first men to suggest that stones fall with a uniformly accelerated motion.¹⁸ Furthermore, he had developed his theorem on this subject together with many of its consequences before he experimented with an inclined plane. That theorem was another one of the network of new regularities accessible to genius in the world determined jointly by nature and by the paradigms upon which Galileo and his contemporaries had been raised. Living in that world, Galileo could still, when he chose, explain why Aristotle had seen what he did. Nevertheless, the immediate content of Galileo's experience with falling stones was not what Aristotle's had been.

It is, of course, by no means clear that we need be so concerned with "immediate experience"—that is, with the perceptual features that a paradigm so highlights that they surrender their regularities almost upon inspection. Those features must obviously change with the scientist's commitments to paradigms, but they are far from what we ordinarily have in mind when we speak of the raw data or the brute experience from which scientific research is reputed to proceed. Perhaps immediate experience should be set aside as fluid, and we should discuss instead the concrete operations and measurements that the scientist performs in his laboratory. Or perhaps the analysis should be carried further still from the immediately given. It might, for example, be conducted in terms of some neutral observation-language, perhaps one designed to conform to the retinal imprints that mediate what the scientist sees. Only in one of these ways can we hope to retrieve a realm in which experience is again stable once and for all—in which the pendulum and constrained fall are not different perceptions but rather

¹⁸ Clagett, *op. cit.*, chaps. iv, vi, and ix.

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different interpretations of the unequivocal data provided by observation of a swinging stone.

But is sensory experience fixed and neutral? Are theories simply man-made interpretations of given data? The epistemological viewpoint that has most often guided Western philosophy for three centuries dictates an immediate and unequivocal, Yes! In the absence of a developed alternative, I find it impossible to relinquish entirely that viewpoint. Yet it no longer functions effectively, and the attempts to make it do so through the introduction of a neutral language of observations now seem to me hopeless. *If it were not so, results could not be worth*

The operations and measurements that a scientist undertakes in the laboratory are not "the given" of experience but rather "the collected with difficulty." They are not what the scientist sees—at least not before his research is well advanced and his attention focused. Rather, they are concrete indices to the content of more elementary perceptions, and as such they are selected for the close scrutiny of normal research only because they promise opportunity for the fruitful elaboration of an accepted paradigm. Far more clearly than the immediate experience from which they in part derive, operations and measurements are paradigm-determined. Science does not deal in all possible laboratory manipulations. Instead, it selects those relevant to the juxtaposition of a paradigm with the immediate experience that that paradigm has partially determined. As a result, scientists with different paradigms engage in different concrete laboratory manipulations. The measurements to be performed on a pendulum are not the ones relevant to a case of constrained fall. Nor are the operations relevant for the elucidation of oxygen's properties uniformly the same as those required when investigating the characteristics of dephlogisticated air.

As for a pure observation-language, perhaps one will yet be devised. But three centuries after Descartes our hope for such an eventuality still depends exclusively upon a theory of perception and of the mind. And modern psychological experimentation is rapidly proliferating phenomena with which that theory can scarcely deal. The duck-rabbit shows that two men

with the same retinal impressions can see different things; the inverting lenses show that two men with different retinal impressions can see the same thing. Psychology supplies a great deal of other evidence to the same effect, and the doubts that derive from it are readily reinforced by the history of attempts to exhibit an actual language of observation. No current attempt to achieve that end has yet come close to a generally applicable language of pure percepts. And those attempts that come closest share one characteristic that strongly reinforces several of this essay's main theses. From the start they presuppose a paradigm, taken either from a current scientific theory or from some fraction of everyday discourse, and they then try to eliminate from it all non-logical and non-perceptual terms. In a few realms of discourse this effort has been carried very far and with fascinating results. There can be no question that efforts of this sort are worth pursuing. But their result is a language that—like those employed in the sciences—embodies a host of expectations about nature and fails to function the moment these expectations are violated. Nelson Goodman makes exactly this point in describing the aims of his *Structure of Appearance*: "It is fortunate that nothing more [than phenomena known to exist] is in question; for the notion of 'possible' cases, of cases that do not exist but might have existed, is far from clear."¹⁹ No language thus restricted to reporting a world fully known in advance can produce mere neutral and objective reports on "the given." Philosophical investigation has not yet provided even a hint of what a language able to do that would be like.

Under these circumstances we may at least suspect that scientists are right in principle as well as in practice when they treat

¹⁹ N. Goodman, *The Structure of Appearance* (Cambridge, Mass., 1951), pp. 4–5. The passage is worth quoting more extensively: "If all and only those residents of Wilmington in 1947 that weigh between 175 and 180 pounds have red hair, then 'red-haired 1947 resident of Wilmington' and '1947 resident of Wilmington weighing between 175 and 180 pounds' may be joined in a constructional definition. . . . The question whether there 'might have been' someone to whom one but not the other of these predicates would apply has no bearing . . . once we have determined that there is no such person. . . . It is fortunate that nothing more is in question; for the notion of 'possible' cases, of cases that do not exist but might have existed, is far from clear."

oxygen and pendulums (and perhaps also atoms and electrons) as the fundamental ingredients of their immediate experience. As a result of the paradigm-embodied experience of the race, the culture, and, finally, the profession, the world of the scientist has come to be populated with planets and pendulums, condensers and compound ores, and other such bodies besides. Compared with these objects of perception, both meter stick readings and retinal imprints are elaborate constructs to which experience has direct access only when the scientist, for the special purposes of his research, arranges that one or the other should do so. This is not to suggest that pendulums, for example, are the only things a scientist could possibly see when looking at a swinging stone. (We have already noted that members of another scientific community could see constrained fall.) But it is to suggest that the scientist who looks at a swinging stone can have no experience that is in principle more elementary than seeing a pendulum. The alternative is not some hypothetical "fixed" vision, but vision through another paradigm, one which makes the swinging stone something else.

All of this may seem more reasonable if we again remember that neither scientists nor laymen learn to see the world piecemeal or item by item. Except when all the conceptual and manipulative categories are prepared in advance—e.g., for the discovery of an additional transuranic element or for catching sight of a new house—both scientists and laymen sort out whole areas together from the flux of experience. The child who transfers the word 'mama' from all humans to all females and then to his mother is not just learning what 'mama' means or who his mother is. Simultaneously he is learning some of the differences between males and females as well as something about the ways in which all but one female will behave toward him. His reactions, expectations, and beliefs—indeed, much of his perceived world—change accordingly. By the same token, the Copernicans who denied its traditional title 'planet' to the sun were not only learning what 'planet' meant or what the sun was. Instead, they were changing the meaning of 'planet' so that it could continue to make useful distinctions in a world where all celestial bodies,

not just the sun, were seen differently from the way they had been seen before. The same point could be made about any of our earlier examples. To see oxygen instead of dephlogisticated air, the condenser instead of the Leyden jar, or the pendulum instead of constrained fall, was only one part of an integrated shift in the scientist's vision of a great many related chemical, electrical, or dynamical phenomena. Paradigms determine large areas of experience at the same time.

It is, however, only after experience has been thus determined that the search for an operational definition or a pure observation-language can begin. The scientist or philosopher who asks what measurements or retinal imprints make the pendulum what it is must already be able to recognize a pendulum when he sees one. If he saw constrained fall instead, his question could not even be asked. And if he saw a pendulum, but saw it in the same way he saw a tuning fork or an oscillating balance, his question could not be answered. At least it could not be answered in the same way, because it would not be the same question. Therefore, though they are always legitimate and are occasionally extraordinarily fruitful, questions about retinal imprints or about the consequences of particular laboratory manipulations presuppose a world already perceptually and conceptually subdivided in a certain way. In a sense such questions are parts of normal science, for they depend upon the existence of a paradigm and they receive different answers as a result of paradigm change.

To conclude this section, let us henceforth neglect retinal impressions and again restrict attention to the laboratory operations that provide the scientist with concrete though fragmentary indices to what he has already seen. One way in which such laboratory operations change with paradigms has already been observed repeatedly. After a scientific revolution many old measurements and manipulations become irrelevant and are replaced by others instead. One does not apply all the same tests to oxygen as to dephlogisticated air. But changes of this sort are never total. Whatever he may then see, the scientist after a revolution is still looking at the same world. Further-

more, though he may previously have employed them differently, much of his language and most of his laboratory instruments are still the same as they were before. As a result, postrevolutionary science invariably includes many of the same manipulations, performed with the same instruments and described in the same terms, as its prerevolutionary predecessor. If these enduring manipulations have been changed at all, the change must lie either in their relation to the paradigm or in their concrete results. I now suggest, by the introduction of one last new example, that both these sorts of changes occur. Examining the work of Dalton and his contemporaries, we shall discover that one and the same operation, when it attaches to nature through a different paradigm, can become an index to a quite different aspect of nature's regularity. In addition, we shall see that occasionally the old manipulation in its new role will yield different concrete results.

Throughout much of the eighteenth century and into the nineteenth, European chemists almost universally believed that the elementary atoms of which all chemical species consisted were held together by forces of mutual affinity. Thus a lump of silver cohered because of the forces of affinity between silver corpuscles (until after Lavoisier these corpuscles were themselves thought of as compounded from still more elementary particles). On the same theory silver dissolved in acid (or salt in water) because the particles of acid attracted those of silver (or the particles of water attracted those of salt) more strongly than particles of these solutes attracted each other. Or again, copper would dissolve in the silver solution and precipitate silver, because the copper-acid affinity was greater than the affinity of acid for silver. A great many other phenomena were explained in the same way. In the eighteenth century the theory of elective affinity was an admirable chemical paradigm, widely and sometimes fruitfully deployed in the design and analysis of chemical experimentation.²⁰

Affinity theory, however, drew the line separating physical

²⁰ H. Metzger, *Newton, Stahl, Boerhaave et la doctrine chimique* (Paris, 1930), pp. 34-68.

mixtures from chemical compounds in a way that has become unfamiliar since the assimilation of Dalton's work. Eighteenth-century chemists did recognize two sorts of processes. When mixing produced heat, light, effervescence or something else of the sort, chemical union was seen to have taken place. If, on the other hand, the particles in the mixture could be distinguished by eye or mechanically separated, there was only physical mixture. But in the very large number of intermediate cases—salt in water, alloys, glass, oxygen in the atmosphere, and so on—these crude criteria were of little use. Guided by their paradigm, most chemists viewed this entire intermediate range as chemical, because the processes of which it consisted were all governed by forces of the same sort. Salt in water or oxygen in nitrogen was just as much an example of chemical combination as was the combination produced by oxidizing copper. The arguments for viewing solutions as compounds were very strong. Affinity theory itself was well attested. Besides, the formation of a compound accounted for a solution's observed homogeneity. If, for example, oxygen and nitrogen were only mixed and not combined in the atmosphere, then the heavier gas, oxygen, should settle to the bottom. Dalton, who took the atmosphere to be a mixture, was never satisfactorily able to explain oxygen's failure to do so. The assimilation of his atomic theory ultimately created an anomaly where there had been none before.²¹

One is tempted to say that the chemists who viewed solutions as compounds differed from their successors only over a matter of definition. In one sense that may have been the case. But that sense is not the one that makes definitions mere conventional conveniences. In the eighteenth century mixtures were not fully distinguished from compounds by operational tests, and perhaps they could not have been. Even if chemists had looked for such tests, they would have sought criteria that made the solution a compound. The mixture-compound distinction was part of their paradigm—part of the way they viewed their whole

²¹ *Ibid.*, pp. 124–29, 139–48. For Dalton, see Leonard K. Nash, *The Atomic-Molecular Theory* (“Harvard Case Histories in Experimental Science,” Case 4; Cambridge, Mass., 1950), pp. 14–21.

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field of research—and as such it was prior to any particular laboratory test, though not to the accumulated experience of chemistry as a whole.

But while chemistry was viewed in this way, chemical phenomena exemplified laws different from those that emerged with the assimilation of Dalton's new paradigm. In particular, while solutions remained compounds, no amount of chemical experimentation could by itself have produced the law of fixed proportions. At the end of the eighteenth century it was widely known that *some* compounds ordinarily contained fixed proportions by weight of their constituents. For some categories of reactions the German chemist Richter had even noted the further regularities now embraced by the law of chemical equivalents.²² But no chemist made use of these regularities except in recipes, and no one until almost the end of the century thought of generalizing them. Given the obvious counterinstances, like glass or like salt in water, no generalization was possible without an abandonment of affinity theory and a reconceptualization of the boundaries of the chemist's domain. That consequence became explicit at the very end of the century in a famous debate between the French chemists Proust and Berthollet. The first claimed that all chemical reactions occurred in fixed proportion, the latter that they did not. Each collected impressive experimental evidence for his view. Nevertheless, the two men necessarily talked through each other, and their debate was entirely inconclusive. Where Berthollet saw a compound that could vary in proportion, Proust saw only a physical mixture.²³ To that issue neither experiment nor a change of definitional convention could be relevant. The two men were as fundamentally at cross-purposes as Galileo and Aristotle had been.

This was the situation during the years when John Dalton undertook the investigations that led finally to his famous chemical atomic theory. But until the very last stages of those investiga-

²² J. R. Partington, *A Short History of Chemistry* (2d ed.; London, 1951), pp. 161–63.

²³ A. N. Meldrum, "The Development of the Atomic Theory: (1) Berthollet's Doctrine of Variable Proportions," *Manchester Memoirs*, LIV (1910), 1–16.

tions, Dalton was neither a chemist nor interested in chemistry. Instead, he was a meteorologist investigating the, for him, physical problems of the absorption of gases by water and of water by the atmosphere. Partly because his training was in a different specialty and partly because of his own work in that specialty, he approached these problems with a paradigm different from that of contemporary chemists. In particular, he viewed the mixture of gases or the absorption of a gas in water as a physical process, one in which forces of affinity played no part. To him, therefore, the observed homogeneity of solutions was a problem, but one which he thought he could solve if he could determine the relative sizes and weights of the various atomic particles in his experimental mixtures. It was to determine these sizes and weights that Dalton finally turned to chemistry, supposing from the start that, in the restricted range of reactions that he took to be chemical, atoms could only combine one-to-one or in some other simple whole-number ratio.²⁴ That natural assumption did enable him to determine the sizes and weights of elementary particles, but it also made the law of constant proportion a tautology. For Dalton, any reaction in which the ingredients did not enter in fixed proportion was *ipso facto* not a purely chemical process. A law that experiment could not have established before Dalton's work, became, once that work was accepted, a constitutive principle that no single set of chemical measurements could have upset. As a result of what is perhaps our fullest example of a scientific revolution, the same chemical manipulations assumed a relationship to chemical generalization very different from the one they had had before.

Needless to say, Dalton's conclusions were widely attacked when first announced. Berthollet, in particular, was never convinced. Considering the nature of the issue, he need not have been. But to most chemists Dalton's new paradigm proved convincing where Proust's had not been, for it had implications far wider and more important than a new criterion for distinguish-

²⁴ L. K. Nash, "The Origin of Dalton's Chemical Atomic Theory," *Isis*, XLVII (1956), 101-16.

ing a mixture from a compound. If, for example, atoms could combine chemically only in simple whole-number ratios, then a re-examination of existing chemical data should disclose examples of multiple as well as of fixed proportions. Chemists stopped writing that the two oxides of, say, carbon contained 56 per cent and 72 per cent of oxygen by weight; instead they wrote that one weight of carbon would combine either with 1.3 or with 2.6 weights of oxygen. When the results of old manipulations were recorded in this way, a 2:1 ratio leaped to the eye; and this occurred in the analysis of many well-known reactions and of new ones besides. In addition, Dalton's paradigm made it possible to assimilate Richter's work and to see its full generality. Also, it suggested new experiments, particularly those of Gay-Lussac on combining volumes, and these yielded still other regularities, ones that chemists had not previously dreamed of. What chemists took from Dalton was not new experimental laws but a new way of practicing chemistry (he himself called it the "new system of chemical philosophy"), and this proved so rapidly fruitful that only a few of the older chemists in France and Britain were able to resist it.²⁵ As a result, chemists came to live in a world where reactions behaved quite differently from the way they had before.

As all this went on, one other typical and very important change occurred. Here and there the very numerical data of chemistry began to shift. When Dalton first searched the chemical literature for data to support his physical theory, he found some records of reactions that fitted, but he can scarcely have avoided finding others that did not. Proust's own measurements on the two oxides of copper yielded, for example, an oxygen weight-ratio of 1.47:1 rather than the 2:1 demanded by the atomic theory; and Proust is just the man who might have been expected to achieve the Daltonian ratio.²⁶ He was, that is, a fine

²⁵ A. N. Meldrum, "The Development of the Atomic Theory: (6) The Reception Accorded to the Theory Advocated by Dalton," *Manchester Memoirs*, LV (1911), 1-10.

²⁶ For Proust, see Meldrum, "Berthollet's Doctrine of Variable Proportions," *Manchester Memoirs*, LIV (1910), 8. The detailed history of the gradual changes in measurements of chemical composition and of atomic weights has yet to be written, but Partington, *op. cit.*, provides many useful leads to it.

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experimentalist, and his view of the relation between mixtures and compounds was very close to Dalton's. But it is hard to make nature fit a paradigm. That is why the puzzles of normal science are so challenging and also why measurements undertaken without a paradigm so seldom lead to any conclusions at all. Chemists could not, therefore, simply accept Dalton's theory on the evidence, for much of that was still negative. Instead, even after accepting the theory, they had still to beat nature into line, a process which, in the event, took almost another generation. When it was done, even the percentage composition of well-known compounds was different. The data themselves had changed. That is the last of the senses in which we may want to say that after a revolution scientists work in a different world.

the equipment was better,

Thomas S. Kuhn

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