

# Jordan Lin Operates for Qm Mechanics Dover

## Ch 1 Lin Spaces & Lin Funct

Notes J Butterfield

### 1.1 Vector spaces

### 1.2 Inner products. Schwarz inequality.

### 1.3 Hilbert space

- a) converge of vectors  $\psi_n \rightarrow \psi$   $\| \psi - \psi_n \| \rightarrow 0$  as  $n \rightarrow \infty$ .
- b) defn of infinite lin combinations or analogy with  $\omega = \sum z_k e_k$
- c) Idea of completeness: every Cauchy seq converges

Hilbert space := complete I.P. space.  
separable

- d) lin manifold vs. subspace.
- example  $l^2 = \{ (x_1, x_2, \dots) \mid x_k \in \mathbb{C} \text{ (or } \mathbb{R}!) \mid \sum_{k=1}^{\infty} |x_k|^2 < \infty \}$
- orthobases  $(1, 0, 0, \dots), (0, 1, 0, 0, \dots) = \{ \phi_k \}$

So each vector  $\psi$  is  $\sum_{k=1}^{\infty} x_k \phi_k$   
The partial sums are  $(x_1, x_2, \dots, x_N, 0, 0, \dots)$  and these converge to  $(x_1, x_2, \dots, x_N, x_{N+1}, \dots, x_{\infty}, \dots)$

- e) in general  $\psi$  has a unique expression in terms of an orthonal basis  $\{ \phi_k \}$

$$\psi = \sum_{k=1}^{\infty} (\phi_k, \psi) \phi_k$$

(Use Schwarz to show this with partial sums)

f) Any 2 HBT space (over  $\mathbb{C}/\mathbb{R}$ ) are isomorphic by basis  $\rightarrow$  basis  
or Any inf-dim sep HBT sp can be identified with  $l^2$

- g) A space  $L^2$  of sq. integrable functs  $\psi: X \rightarrow \mathbb{C} \ni \int_X |\psi(x)|^2 dx < \infty$  is a HBT space.

$L^2(0,1)$  - Fourier series give an orthonal basis of continuous functions

- h) NB Lebesgue vs Riemann integration!

- i) orthocomplements & projectors:  $\mathcal{M}$  a subspace of  $\mathcal{H}$ .

Schwarz  
If  $\mathcal{H}$  is separable, so is  $\mathcal{M}$   
And  $\mathcal{M}^\perp$  has usual with  
 $\forall \psi \in \mathcal{H}$  has ! expression  $\psi = \psi_{\parallel} + \psi_{\perp}$   
 $\psi_{\parallel} \in \mathcal{M}$  and  $\psi_{\perp} \in \mathcal{M}^\perp$

### 1.4 Lin Funct

- a) In an inner product space, each  $\psi$  defines a lin funt  $F_\psi$  by  $F_\psi(\phi) := (\psi, \phi)$

- b) for n-dim space:  $\{ \phi_k \} = \{ \phi_1, \dots, \phi_n \}$  an obasis.

To each  $F$  on this space, assign the vector  $\psi_F := \sum_{k=1}^n F(\phi_k) \phi_k$

Indeed the defn in a), applied to this  $\psi_F$ , yields  $F$  again.

That is for any vector  $\phi = \sum (\phi_k, \phi) \phi_k$ , we have

$$F(\phi) = \sum (\phi_k, \phi) F(\phi_k) = (\psi_F, \phi)$$

- c) for  $\infty$ -dim space, use defn of continuity

- d) (Riesz) every contin lin funt on sepble HBT space  $F$ ,  $\exists!$   $\psi_F \in \mathcal{H}$  with  $F(\phi) = (\psi_F, \phi)$

# Ch 2 Lin Operators

## 2.5 (1st) Operators & matrices

In general  $AB \neq BA!$

$$(A\psi)(x) = \int_a^b \psi(y) dy \quad (A\psi)(x) = \int a(x,y) \psi(y) dy$$

## 2.6 Bdd Opors.

Defn of  $A$  is continuous, and of bdd with norm  $\|A\|$

Thm 6.1 A lin op is cont. iff bdd

from norm for vectors.  $\left\{ \begin{array}{l} \|A+B\| \leq \|A\| + \|B\| \\ \|aA\| = |a| \|A\| \\ \|AB\| \leq \|A\| \|B\| \end{array} \right. \quad \left. \begin{array}{l} \|A\| = 0 \iff A=0 \\ \text{so } \|\cdot\| \text{ is a 'norm' } \end{array} \right\}$

A bdd lin op on an  $\infty$ -diml but sep Hbt-sp can be represented by an inf matrix.

## 2.7 Inverses

A has an inverse iff there is a lin op  $B$  st.  $AB=1=BA$   
 iff  $\forall \psi \exists! \phi$  with  $\psi = A\phi$ .

Thm 7.2 finite diml vec space.  $\{\phi_i\}$  any basis NSCs for  $A$  to have inverse

- (i) there is no nonzero vector  $\phi$  st.  $A\phi = 0$
- (ii) the set  $\{A\phi_1, \dots, A\phi_n\}$  is lin indepnt
- (iii) there is a lin op  $B$  s.t.  $BA=1$
- (iv) the matrix comp'd to  $A$  has non-zero detnt.

NB for inf-diml space (i) (ii) (iii) are NOT sufficient.

Let  $A: \ell^2 \rightarrow \ell^2$  :  $\phi = (x_1, x_2, \dots) \mapsto (0, x_1, x_2, \dots)$

Let  $B$  be "drop 1st component & left shift"  $(x_1, x_2, \dots) \mapsto (x_2, x_3, x_4, \dots)$

The n (i) (ii) (iii) all hld  
 But  $A$  has no inverse. If  $\psi = (x_1, x_2, \dots)$  with  $x_1 \neq 0$   
 then there is no vector  $\phi$  with  $\psi = A\phi$ .

## 2.8 Unitaries.

$U$  is unitary if it has an inverse and  $\|U\psi\| = \|\psi\|$  for all  $\psi$   
 every unitary is bdd  $\|U\| = 1$ .

Thm 8.1  $(U\psi, U\phi) = (\psi, \phi) \forall \psi, \phi$ . Cor 8.2  $U$ (basis) is an basis

Thm 8.3 "conversely". If  $U$  is bdd and  $U$ (some basis) is an basis, then  $U$  is unitary

2.9 Adjoints, Hermitic Ops

Let  $A$  be bdd (and so continuous). Then for each  $\psi \in H$ , the lin functional  $F[\psi]$  defined by  $F[\psi](\phi) := (\psi, A\phi)$

is continuous

So by Riesz,  $\exists$  a ! vector  $\phi$ , call it  $A^T\psi$ , with  $F[\psi](\phi) = (A^T\psi, \phi)$

$A^T$  is trivially linear

Thm 9.1  $A$  is bdd  $\Rightarrow A^T$  is bdd and  $\|A^T\| = \|A\|$

Usual stuff:  $A^{TT} = A$   $(AB)^T = B^T A^T$   $(aA)^T = aA^T$  etc.

: adjoint / Hermitic conjugate of the representing matrix.

A bdd lin op is self-adjoint or Hermitic if  $A^T = A$ .

NB It is impossible to define an unbdd Hermitic op for all vectors

(this is Thm 11.1 below)

i.e.  $(\phi, A\psi) = (A\phi, \psi)$  i.e.  $(\phi, A\psi) = (\psi, A\phi)$  (Hermitic)  
 $\forall (\psi, A\psi) \in \mathbb{R} \quad \forall \psi$

Example On  $L^2(0,1)$   $A$  with  $(A\psi)(x) := x\psi(x)$   
 is bdd:  $\|A\psi\|^2 \leq \|\psi\|^2$  and  $\|A\| = 1$ .

and (Hermitic). So  $A$  is Hermitian.

But "completely defined"  $L^2(\mathbb{R})$  is NOT bdd: need to damp eg  $(U\psi)(x) := e^{-x^2}\psi(x)$

Thm 9.2 If  $A$  is bdd with a bdd inverse  $A^{-1}$ , then  $(A^T)^{-1}$  exists and  $(A^T)^{-1} = (A^{-1})^T$

Thm 9.3 A lin op is unitary iff  $U^T U = I = U U^T$ .

For bdd lin ops  $A, B$ , we can use the adjoints to show that the representing matrix  $(c_j k)$  of the  $C \circ = AB$  is the matrix product  $c_j k = \sum_{i=1}^n a_{ji} b_{ik}$

2.10 Project operators

Given subspace  $M \subset H$ , define projector  $E_M$  by  $E_M: H \rightarrow H$  with  $\psi \in M \Rightarrow E_M \psi = \psi$ .

Then

Thm 10.1 A bdd lin op  $E$  is a projector iff  $E^2 = E = E^T$

(continuous)

Thm 10.2 If  $E_1 E_2 = E_2 E_1$ , then  $E_1 E_2$  is the projector onto  $\text{ran}(E_1) \cap \text{ran}(E_2)$   
 If  $E_1 \perp E_2$ ,  $E_1 + E_2$  projects on  $\text{ran}(E_1) + \text{ran}(E_2)$   
 If  $E_1 \subseteq E_2$ ,  $E_2 - E_1$  projects on rel complement

## 2.11 Unbdd Operators

Tordam (p. 8)

Example. Posit. in Schrod eqns for  $L^2(\mathbb{R})$

$$\text{i.e. } (Q\psi)(x) := x\psi(x).$$

$$\|Q\psi\|^2 \equiv \int_{-\infty}^{\infty} |x\psi(x)|^2 dx \text{ can be arbitrarily close to } \|\psi\|^2 = \int_{-\infty}^{\infty} |\psi(x)|^2 dx$$

Thm 1.1 If a lin op is defined for all vectors and if  $(\phi, A\psi) = (A\phi, \psi)$  for all  $\psi, \phi$ , then  $A$  is self-adjoint.

a) Qm Thm needs unbd ops with dense Hermitian property. So it needs dense ops with domains less than all of  $H$ . Hence domain of definition, dense domains, and extensions

b) If  $A$  has a dense domain, we can define  $A^\dagger$  viz:-

$$\text{Dom}(A^\dagger) = \left\{ \psi \text{ s.t. there is a vector } \tilde{\psi}, \text{ with: } \forall \phi \in \text{dom } A \right. \\ \left. \text{then define } A^\dagger \psi \rightarrow \tilde{\psi} \right\} \quad (\phi, \tilde{\psi}) = (A\phi, \psi)$$

This defines  $A^\dagger$  as linear and  $\text{dom } A^\dagger$  a lin sfd. because  $\text{dom } A$  is dense.

c) An operator is symmetric if it has dense domain and

$$(\phi, A\psi) = (A\phi, \psi) \quad \forall \phi, \psi \in \text{dom}(A)$$

Then  $A^\dagger\psi$  is defined and  $A^\dagger\psi = A\psi$ , for all  $\psi \in \text{dom } A$   
 I.E.:  $A^\dagger$  is an extension of  $A$ .

If  $A^\dagger = A$ , we say  $A$  is self-adjoint or Hermitian.

d) Example. Define  $Q$  on  $L^2(\mathbb{R})$  to have  $\text{dom}(Q) := \{ \psi \mid \int |x\psi(x)|^2 < \infty \}$   
 This is trivially dense. So  $Q$  is symmetric.

And so  $Q^\dagger$  is defined & extends  $Q$

One argues by "chopping" (p. 31) that the extension is "vacuous"  
 That is:  $Q^\dagger = Q$  and  $Q$  is self-adjoint.

e) A symmetric operator that cannot be extended to a larger domain is maximal symmetric

Thm 1.2 Every s-a operator is a maximal symmetric operator.  
 NB not conversely

f) Closed operators Defn of "closed" ("2nd best to continuity")

Thm 1.3 If  $\text{dom}(A)$  is dense, then  $A^\dagger$  is closed

So every s-adjoint operator is closed

3.12 Eigenvalues & eigenvectors

Let  $A$  be Hermitian or unitary ... usual ...

Let  $a_1, \dots, a_k, \dots$  be its evales, with compdy spaces  $M_k$   
 Then  $\bigoplus_k M_k$  is the subspace spanned by eigenvectors of  $A$ . "Eig(A)"

Define  $M$  reduces  $A$  if both  $M$  and  $M^\perp$  are invariant under  $A$ .

Thm 12.7  $M$  reduces  $A$  iff  $EA = AE$  iff  $(I-E)A = A(I-E)$

Thm 12.8  $A$  Hermitian or unitary. Then  $E_A(A)$  reduces  $A$ .

3.13 Eigenvalue decomposition.

Thm 13.1 For finite dim, eigenvect of Hermitian span whole space.

Write, with evales  $a_1 < a_2 < \dots < a_k < a_{m-1} < a_m$  with spcs  $M_k$ .  
 So  $m$  evales in all and  $k=1, 2, \dots, m$ .

$$A = \sum_{k=1}^m a_k I_k \text{ with } I_k \text{ projectors onto } M_k$$

For each real number  $x$ ,  $E_x := \sum_{a_k < x} I_k$

Thm  $E_x = 0$  for  $x < a_1$  and  $E_x = 1$  for  $x > a_m$ .

If  $x < y$ ,  $E_x E_y = E_x = E_y E_x$ . i.e.  $E_x \leq E_y$

Define for each  $x$  let  $dE_x = E_x - E_{x-\epsilon}$   
 with  $\epsilon$  so small that there is no  $a_j$  with  $x-\epsilon \leq a_j < x$ .

So  $dE_x$  is not zero only when  $x$  is an evale  $a_k$   
 — in which case  $dE_x = I_k$

for  $\sum_{k=1}^m I_k = 1$ , we write  $\int_{-\infty}^{\infty} dE_x = 1$

And for  $A = \sum a_k I_k$  we write  $A = \int_{-\infty}^{\infty} x dE_x$ .

Now,  $(\phi, E_x \psi)$  is a complex fn of  $x$  that jumps in value by  $(\phi, I_k \psi)$  at  $x = a_k$ . So  $(\phi, \psi) = \int d(\phi, E_x \psi)$  → but is continuous from the right

*ordinary Riemann integrals*  $(\phi, A\psi) = \int x d(\phi, E_x \psi)$

Similarly unitaries. eigenvalues  $u_k = e^{i\theta_k}$   $0 < \theta_1 < \theta_2 < \dots < \theta_m \leq 2\pi$

$$E_x := \sum_{\theta_k < x} I_k \quad U = \int_0^{2\pi} e^{i\theta} dE_x$$

$$(\phi, U\psi) = \int_0^{2\pi} e^{i\theta} d(\phi, E_x \psi)$$

### 3.14 Spectral decomposition

Define A family  $\{E_x\}_{x \in \mathbb{R}}$  is spectral family iff

- (i)  $x \leq y \Rightarrow E_x \leq E_y$  i.e.  $E_x E_y = E_x = E_y E_x$
- (ii) If  $\epsilon > 0$ , then  $E_{x+\epsilon} \psi \rightarrow E_x \psi$  as  $\epsilon \rightarrow 0$  :  $\forall \psi$ , and  $\forall x$  "continuity from right"
- (iii)  $E_x \psi \rightarrow 0$  as  $x \rightarrow -\infty$ ;  $E_x \psi \rightarrow \psi$  as  $x \rightarrow \infty$  :  $\forall \psi$

Thm 14.1 For each self-adjoint op  $A$ ,  $\exists!$  spectral family  $E_x$  s.t.

$$\forall \phi, \psi \quad (\phi, A\psi) = \int_{-\infty}^{\infty} x d(\phi, E_x \psi) \quad \text{we write } A = \int x dE_x$$

Thm 14.2 Similarly for unitary  $U$ ,  $E_x = 0$  for  $x \leq 0$ ,  $E_x = 1$  for  $x > 2\pi$

$$\text{and } (\phi, U\psi) = \int_0^{2\pi} e^{ix} d(\phi, E_x \psi)$$

$$\text{So we write } U = \int_0^{2\pi} e^{ix} dE_x.$$

Examples "position" on  $L^2(0,1)$  and  $L^2(\mathbb{R})$ .

On  $L^2(0,1)$ , define  $E_x$  as "chopping"  $(E_x \psi)(y) = \begin{cases} \psi(y) & \text{if } y \leq x \\ 0 & \text{if } y > x \end{cases}$

Then

$$\|E_{x+\epsilon} \psi - E_x \psi\|^2 = \int_x^{x+\epsilon} |\psi(y)|^2 dy \rightarrow 0$$

and  $\{E_x\}$  is a spectral family.

Define  $A$  on  $L^2(0,1)$  by  $(A\psi)(x) = x\psi(x)$  odd s-adjoint.

Then for any  $\phi$ , and  $\psi$

$$\begin{aligned} \int_{-\infty}^{\infty} x d(\phi, E_x \psi) &= \int_0^1 x d \int_0^x \phi(y)^* (E_x \psi)(y) dy = \\ &= \int_0^1 x d \int_0^x \phi(y)^* \psi(y) dy = \int_0^1 \phi(x)^* x \psi(x) dx = (\phi, A\psi) \end{aligned}$$

So we have spectral decomposition for  $A$ .

$$(\phi, E_x \psi) \text{ never jumps in value } (\phi, E_x \psi) - (\phi, E_{x-\epsilon} \psi) = \int_{x-\epsilon}^x \phi(y)^* \psi(y) dy \rightarrow 0 \text{ as } \epsilon \rightarrow 0$$

~~Thm~~ We say  $E_x$  jumps in value at  $x$  iff for some  $\psi$   $(E_x - E_{x-\epsilon})\psi$  does not converge to 0 as  $\epsilon \rightarrow 0$  ( $\epsilon$  positive of course). Otherwise continuous at  $x$

So in this example  $E_x$  is continuous at all  $x$ , since  $A$  has no eigenvalues/eigenvectors.

Thm 14.3 A self-adjoint with  $A = \int x dE_x$

Then  $E_x$  jumps in value at  $a$  iff  $a$  is an evale of  $A$ .

Let  $I_a$  be the projector onto the eigenspace for  $a$ .

Then  $E_x I_a = 0$  for  $x < a$  and  $E_x I_a = I_a$  for  $x \geq a$

And for  $\epsilon > 0$ , any  $\psi$   $E_a \psi - E_{a-\epsilon} \psi \rightarrow I_a \psi$  as  $\epsilon \rightarrow 0$

Define spectrum :=  $\{x \in \mathbb{R} \mid E_x \text{ increases} \}$  is. x set in (a, b) on which E exists Jordan (p 7)  
 point spectrum :=  $\{x \in \mathbb{R} \mid E_x \text{ jumps} \}$   
 cuts spectrum :=  $\{x \in \mathbb{R} \mid E_x \text{ increases continuously} \}$

Thm 14.5 A self-adjoint op is bdd iff its spectrum is bdd

A self-adjoint op is positive if  $(\phi, A\phi) \geq 0$  for all  $\phi$ .

Thm 14.6 A self-adjoint op is positive iff its spectrum is nonnegative.

### 3.15 Functions of an Operator, Stone's Theorem

Given a self-adjoint  $A = \int x dE_x$ . Let  $f: \mathbb{R} \rightarrow \mathbb{C}$ . We define  $f(A)$  by:  $(\phi, f(A)\psi) = \int_{-\infty}^{\infty} f(x) d(\phi, E_x \psi)$  (for functions this is Riemann integral)

(Check) for  $f(x) = x$ , we have  $f(A) = A$

a) for  $f(x) = 1$ , we have  $f(A) = \mathbb{1}$ , since  $\int d(\phi, E_x \psi) = (\phi, \psi)$

c)  $(f+g)(A) = f(A) + g(A)$ ;  $(cf)(A) = c f(A)$

d) Also  $\otimes$  products -  $(fg)$  is defined by  $(fg)(x) := f(x)g(x)$   
 then  $(fg)(A) = f(A)g(A)$

e) So polynomials:  $f(x) = c_0 + c_1 x + c_2 x^2 + \dots + c_n x^n$   
 gives  $f(A) = c_0 \mathbb{1} + c_1 A + c_2 A^2 + \dots + c_n A^n$

f)  $[f(A)]^T = (f^*)A$ . If  $f: \mathbb{R} \rightarrow \mathbb{R}$ , then  $f(A)$  is self-adjoint  
 If  $f^* = f = 1$ , then  $[f(A)]^T f(A) = \mathbb{1} = f(A)[f(A)]^T$   
 i.e.  $f(A)$  is unitary.

g)  $f(A)$  is positive if  $f(x) \geq 0$  on spectrum of  $A$

h)  $f(A)$  is bdd if  $|f(x)|$  is bdd over spectrum of  $A$ .

Given  $H = \int_{-\infty}^{\infty} x dE_x$ , define for all  $t$ :  $(\phi, U_t \psi) := \int e^{itx} d(\phi, E_x \psi)$

$U_t$  is an operator viz  $U_t = e^{itH}$ , which is unitary since  $e^{itx} \overline{e^{itx}} = 1$ .

Evidently  $U_0 = \mathbb{1}$  and since  $e^{itx} e^{it'x} = e^{i(t+t')x}$ , we have

$U_t U_{t'} = U_{t+t'}$ . The converse is

Thm 15.1 Stone's theorem

$t \in \mathbb{R}$ , let  $U_t$  be unitary and suppose  $t \mapsto (U_t \psi)$  is continuous function of  $t$ ,  $\forall \psi \in \mathcal{H}$ .

(a unitary representation of  $(\mathbb{R}, +)$ )

(ii)  $U_0 = 1, U_t U_{t'} = U_{t+t'}$

Then there is a unique  $s$ -adjoint operator  $H$  such that  $U_t = \exp(itH)$  for all  $t$ .

② the domain of  $H$  is  $\{ \psi \mid \frac{1}{i\Delta t} (U_{\Delta t} - 1) \psi \text{ converges as } \Delta t \rightarrow 0 \}$  and the limit vector is  $H\psi$ .

③ If a bdd operator commutes with all  $U_t$ , it commutes w/  $H$ .

Using ②, we say:-

If  $U_t \psi \in \text{dom}(H)$ , then  $\frac{1}{i\Delta t} (U_{\Delta t} - 1) U_t \psi \rightarrow H U_t \psi$  as  $\Delta t \rightarrow 0$

That is:  $\frac{1}{i\Delta t} (U_{t+\Delta t} - U_t) \psi \rightarrow H U_t \psi$

So we write  $-i \frac{d}{dt} (U_t \psi) = H U_t \psi$  "Schrödinger equation"